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COMMISSIONER FOR PATENTS
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/016,323	12/10/2001	1651	2388	282172000404	15	40 62	1

CONFIRMATION NO. 7855

FILING RECEIPT



OC000000007410073

Charles D. Holland
Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, CA 94304-1018RECEIVED
FEB 11 2002
Morrison & Foerster, LLP
Palo Alto

COPY

Date Mailed: 02/01/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Derek J. Hei, Concord, CA;
Michael S. Clarke, San Francisco, CA;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/112,068 07/08/1998
WHICH IS A CIP OF 09/003,113 01/06/1998 ABN

Foreign Applications

Projected Publication Date: To Be Determined - pending completion of Security Review

Non-Publication Request: No

Early Publication Request: No

Title

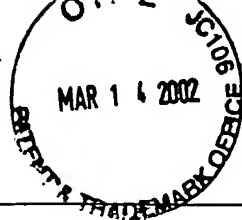
Absorbing pathogen-inactivating compounds with porous particles immobilized in a matrix

Preliminary Class

435

DOCKETED

TW
Scy



411128
Refund
04-03-02

PATENT
Docket No. 282172000404

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231, on February 28, 2002.


Kerry Keehan

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Derek HEI and Michael S. CLARKE

Serial No.: 10/016,323

Filing Date: December 10, 2001

For: ABSORBING PATHOGEN-
INACTIVATING COMPOUNDS WITH
POROUS PARTICLES IMMOBILIZED
IN A MATRIX

Examiner: To Be Assigned

Group Art Unit: 1651

REQUEST FOR REFUND

Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: Refund Section, Accounting Division Office of Finance

Dear Sir:

Enclosed are copies of the Fee Transmittal and Preliminary Amendment which were filed on December 12, 2001 for the above-identified application. Also enclosed is a copy of the Official Filing Receipt received in connection with the above-identified application. Applicants submitted the attached preliminary amendment with the utility application at the time of filing. In the Preliminary Amendment Applicants cancelled original claims 1-57 and substituted new claims 58-97 in place thereof. The new claims amount to:

i) sixty-two claims (including multiple dependent claims) which total:

\$756 for claims in excess of twenty.

\$280 for the multiple dependent claim fee.

Total claim fees equal \$1,036.

Fees for utility patent application equal \$740.

Total amount of fees due at the time of filing equals \$1,776.

Total claims equal sixty-two.

Total independent claims equals one.

However, it appears the Patent Office made an error and charged Applicants claim fees amounting to:

\$1,368 for claims in excess of twenty.

\$280 for the multiple dependent claim fee.

Fees for utility patent application equal \$740.

Total amount of fees charged to deposit account equals 2,388.

Applicants request a refund of \$612 from the \$2388 fee for Utility Patent Application and claim fees paid on December 12, 2001. This request is made within three months of payment of the fee as required under the rules.

Please credit same to our **Deposit Account No. 03-1952** referencing attorney docket No. 282172000404.

Respectfully submitted,

Dated: February 28, 2002

By: 

Charles D. Holland
Registration No. 35,196

Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, California 94304-1018
Telephone: (650) 813-5832
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FEE TRANSMITTAL FOR FY 2002

COPY

Patent fees are subject to annual revision.

Complete if Known

Application Number	To Be Assigned	10,016,323
Filing Date	To Be Assigned	
First Named Inventor	Derek J. HEI	
Examiner Name	To Be Assigned	
Group Art Unit	To Be Assigned	
Attorney Docket No.	282172000404	

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METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 03-1952
Deposit Account Name: Morrison & Foerster LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$ 740)

2. EXTRA CLAIM FEES

Total Claims	62	-20** =	42	x	18	=	\$ 756
Independent Claims	1	-3** =	0	x	84	=	\$ 0
Multiple Dependent							\$ 280

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claims, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 1,036)

** or number previously paid, if greater; For reissues, see above.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions of the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per properties (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

SUBMITTED BY

Name (Print/Type)	Charles D. Holland	Registration No. (Attorney/Agent)	35,196	Telephone	(650) 813-5832
Signature	<i>Charles D. Holland</i>	Date	December 10, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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